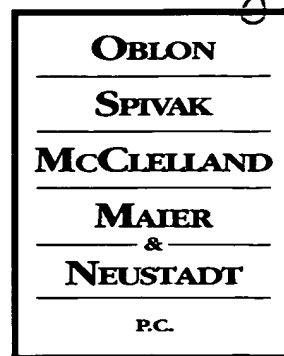




10/ 511602

Docket No.: 260582US2X PCT



COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

RE: Inventor: Naoki SUGANO, et al.  
Patent No.: 7,067,999  
Issued: June 27, 2006  
Group Art Unit: 2837  
Examiner: GLASS, ERICK DAVID  
For: ROTATION CONTROL DEVICE OF WORKING  
MACHINE

ATTORNEYS AT LAW

SIR:

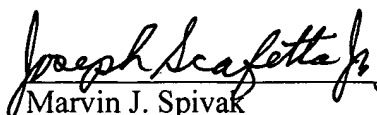
Attached hereto for filing are the following papers:

**REQUEST FOR CERTIFICATE OF CORRECTION**  
**CERTIFICATE OF CORRECTION FORM PTO 1050**  
**COPIES OF ISSUE FEE TRANSMITTAL LETTER**  
**AND DATE-STAMPED FILING RECEIPT (FILED MAY 10, 2006)**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Registration No. 24,913

Joseph Scafetta, Jr.  
Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 03/06)

**Certificate**

JUL 12 2006

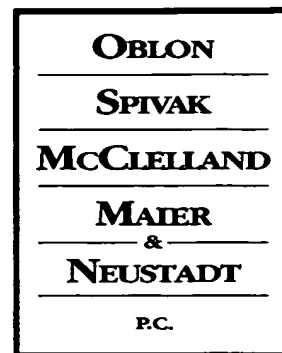
**of Correction**

JUL 13 2006



Docket No.: 260582US2X PCT

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Inventor: Naoki SUGANO, et al.  
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Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 03/06)

FILED JUL 10 2006

## PART B - FEE(S) TRANSMITTAL

BUT AVAILABLE COPY

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or **Fax** (571)-273-2885

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

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7590

03/20/2006

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**Certificate of Mailing or Transmission**  
 P.O. hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/511,602	11/03/2004	Naoki Sugano	260582US2X PCT	5563

TITLE OF INVENTION: ROTATION CONTROL DEVICE OF WORKING MACHINE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	06/20/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
GLASS, ERICK DAVID	2837	318-372000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. OBLON, SPIVAK,  
 2. MCCLELLAND, MAIER  
 3. & NEUSTADT, P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

1) KOBELCO CONSTRUCTION MACHINERY CO., LTD. 1) Hiroshima-shi, JAPAN  
 2) Kabushiki Kaisha Kobe Seiko Sho (Kobe Steel, Ltd.) 2) Kobe-shi, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies -10-

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.  
☒ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 15-0030 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_

Registration No. \_\_\_\_\_

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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OSMM&N File No. 260582US2X PCT

Serial No. 10/511,602

In the matter of the Application of: Naoki SUGANO, et al.

For: ROTATION CONTROL DEVICE OF WORKING MACHINE

Dept.: IPII

By: MJS/anc

Due Date: 6/20/06

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Credit Card Form for \$1,730.00  
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- Adv. Patent Copies (10)
- Submission of Supplemental Application Data Sheet
- Supplemental Application Data Sheet

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JUL 13 2006



DOCKET NO.: 260582US2X PCT/smc

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE: APPLICATION OF: Naoki SUGANO, et al.

PATENT NO.: 7,067,999

GROUP: 2837

ISSUED: June 27, 2006

EXAMINER: GLASS, ERICK DAVID

FOR: ROTATION CONTROL DEVICE OF WORKING MACHINE

**REQUEST FOR CERTIFICATE OF CORRECTION**

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ALEXANDRIA, VA 22313-1450

SIR:

The following is a Request for Certificate of Correction in Serial Number 10/511,602, now U.S. Patent Number 7,067,999.

In accordance with the provisions of Rule 322 of the Rules of Practice, which implement 35 USC 254, the U.S. Patent and Trademark Office is respectfully requested to issue a Certificate of Correction in the above-identified patent.

In light of the fact that the errors were the fault of the U.S. Patent and Trademark Office, no fees are required. The requested corrections are listed on FORM P.T.O. 1050.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

Joseph Scafetta, Jr.  
Registration No. 26,803

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Fax. (703) 413-2220  
(OSMMN 03/06)

JUL 13 2006

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : **7,067,999**  
DATED: **Jun. 27, 2006**  
INVENTOR(S): **Sugano et al.**

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Item (73), the 2<sup>nd</sup> Assignee information is incorrect. Item (73) should read:

-- (73) Assignees: **Kobelco Construction Machinery Co.,  
Ltd., Hiroshima (JP); Kabushiki Kaisha  
Kobe Seiko Sho (Kobe Steel, Ltd.),  
Kobe (JP) --**

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Patent No. **7,067,999**

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**JUL 13 2006**

UNITED STATES PATENT AND TRADEMARK OFFICE  
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**JUL 13 2006**